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# (Restricted) Quantized Enveloping Algebras of Simple Lie superalgebras and Universal R-Matrices

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(Restricted) Quantized Enveloping Algebras  
of Simple Lie superalgebras  
and Universal R-Matrices

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In this note, we define a (Jimbo type) quantized enveloping superalgebras  $U_q(G)$  of complex simple Lie superalgebras  $G$  of types A, B, C, D (all types) and types  $F_4$  and  $G_3$  (distinguished types). We can get a defining relations of  $U_q(G)$ , which are consist of  $q$ -Serre relations and additional relations. They were unknown even if  $q=1$ . Moreover we define a restricted quantum groups  $u_\zeta(G)$  at a root of unity  $\zeta$ .

Finally, we consider a Hopf algebrization of the Hopf superalgebra  $u_\zeta(G)$ , and construct the universal R-matrix of  $u_\zeta(G)^\sigma$ . Our construction is due to Drinfeld's quantum double construction. By using quantum double construction, we can also show a Poincaré-Birkhoff-Witt type theorem for  $U_q(G)$  and  $u_\zeta(G)$ .

In [Y1-2], we introduced the (Drinfeld type) quantized enveloping superalgebras  $U_h(G)$ , showed  $\underbrace{U_h(G)}_{\text{that}}$  is an  $h$ -adic topologically free  $C[[h]]$ -Hopf algebra, and gave an explicit formula of universal R-matrix of  $U_h(G)^\sigma$ . The arguments used in this note are the essentially same arguments as we used in [Y2].

Here I would like to express my thanks to Professor E. Date, Professor M. Noumi, Professor T. Tanisaki, Professor J. Murakami, Professor M. Okado for their encouragement and valuable communication. I also thanks Professor K. Nishiyama for giving me the opportunity of speaking in this symposium.

§1. Quantum double construction.

Let  $K$  be a field. Suppose  $\text{char}(K) = 0$ . Let  $(A, \Delta, S, \varepsilon)$  is  $K$ -Hopf algebras with coproduct  $\Delta : A \rightarrow A \otimes A$ , antipode,  $S : A \rightarrow A$  and counit  $\varepsilon : A \rightarrow K$ .

Moreover we assume that there is a symmetric Hopf-pairing  $\langle, \rangle : A \otimes A \rightarrow K$ , namely  $\langle, \rangle$  is a symmetric  $K$ -bilinear form such that

- (1)  $\langle \Delta(x), y \otimes z \rangle = \langle x, y \otimes z \rangle$ ,
- (2)  $\langle S(x), y \rangle = \langle x, S(y) \rangle$ ,
- (3)  $\langle 1, x \rangle = \varepsilon(x)$

where  $x, y, z \in A$ .

We call a Hopf-algebra  $A^{\text{op}} = (A, \Delta^{\text{op}}, S, \varepsilon)$  the opposite Hopf-algebra of  $A$  where  $\Delta^{\text{op}} = \tau \circ \Delta$  and  $\tau(x \otimes y) = y \otimes x$ .

**Proposition 1.1. (Quantum double)** There is a unique  $K$ -Hopf algebra  $(D = D(A), \Delta_D, S_D, \varepsilon_D)$  satisfying:

- (1) As  $K$ -vector spaces,  $D \cong A \otimes A$ .
- (2) The  $K$ -linear maps  $A \rightarrow A \otimes A$  ( $x \rightarrow x \otimes 1$ ) and  $A^{\text{op}} \rightarrow A \otimes A$  ( $x \rightarrow 1 \otimes x$ ) are homomorphisms of Hopf-algebras.
- (3) The product of  $D$  is defined as follows; if  $x, y \in A$  and  $\Delta^{(2)}(x) = \sum_i x_i^{(1)} \otimes x_i^{(2)} \otimes x_i^{(3)}$  and  $\Delta^{(2)}(y) = \sum_i y_i^{(1)} \otimes y_i^{(2)} \otimes y_i^{(3)}$ , then

$$(v \otimes x) \cdot (y \otimes w) = \sum_{i,j} \langle x_i^{(1)}, y_j^{(3)} \rangle \langle x_i^{(3)}, S(y_j^{(1)}) \rangle (v y_j^{(2)} \otimes x_i^{(2)} w).$$

**Proposition 1.2. (Universal  $R$ -matrix of  $D(A)$ )** Assume that  $\dim A < \infty$  and  $\langle, \rangle$  is non-degenerate. Let  $\{e_i\}$  and  $\{e^i\}$  are two bases of  $A$  such that  $\langle e_i, e^j \rangle = \delta_{ij}$ . Then  $R = \sum_i (e_i \otimes 1) \otimes (1 \otimes e^i) \in D \otimes D$  satisfies:

- (0)  $R^{-1} = (1 \otimes S^{-1})(R)$ .
- (1)  $R \Delta_D(a) R^{-1} = \Delta_D^{\text{op}}(a)$  ( $a \in D$ ).
- (2)  $(1 \otimes \Delta_D)(R) = R_{13} R_{12}$ ,  $(\Delta_D \otimes 1)(R) = R_{23} R_{13}$ .

**Remark.** From (1) and (2), we can easily see that  $R$  satisfies the Yang-Baxter equation :

$$R_{12} R_{13} R_{23} = R_{23} R_{13} R_{12}.$$

Therefore  $R$  is called the *universal  $R$ -matrix of  $D$* .

## §2. Quantized enveloping (super)algebras.

Here we give an abstract definition of Quantized enveloping (super)algebras by using the Quantum double construction.

Let  $\mathbb{E}$  be an  $N$ -dimensional  $K$ -vector space. Assume that there is a non-degenerate bi-linear form  $(, ) : \mathbb{E} \times \mathbb{E} \rightarrow K$  with a basis  $\{\underline{e}_i \mid 1 \leq i \leq N\}$  such that  $(\underline{e}_i, \underline{e}_j) = 0$  ( $i \neq j$ ),  $(\underline{e}_i, \underline{e}_i) \in \mathbb{Z} - \{0\}$ . Let  $\Pi = \{\alpha_i \in \mathbb{E} \mid 1 \leq i \leq n\}$  be the set of linearly independent elements. Suppose that  $(\alpha_i, \alpha_j) \in (1/4)\mathbb{Z}$ . Let  $p : \Pi \rightarrow \mathbb{Z}/2\mathbb{Z} = \{0, 1\}$  be the function. Write  $p(i)$  for  $p(\alpha_i)$ . We call  $p$  the parity function. Put  $P_+ = \mathbb{Z}\underline{e}_1 \oplus \dots \oplus \mathbb{Z}\underline{e}_N$ .

Let  $q \in K^\times$ . Let  $U_q^{\sim} b_+^\sigma$  be a  $K$ -algebra with generators  $\{E_i \ (1 \leq i \leq n), K_\lambda \ (\lambda \in P_+), \sigma\}$  and defining relations:

$$(U^{\sim}.1) \ \sigma^2 = 1, \sigma E_i \sigma = (-1)^{p(i)} E_i, \sigma K_\lambda \sigma = K_\lambda,$$

$$(U^{\sim}.2) \ K_0 = 1, K_\lambda K_\mu = K_{\lambda+\mu} \ (\lambda, \mu \in P_+),$$

$$(U^{\sim}.3) \ K_\lambda E_i K_\lambda^{-1} = q^{(\alpha_i, \lambda)} E_i.$$

Moreover  $U_q^{\sim} b_+^\sigma$  has a  $K$ -Hopf algebra such that

$$(U^{\sim}.4) \ \Delta(\sigma) = \sigma \otimes \sigma, S(\sigma) = \sigma, \varepsilon(\sigma) = 1,$$

$$(U^{\sim}.5) \ \Delta(K_\lambda) = K_\lambda \otimes K_\lambda, S(K_\lambda) = K_\lambda^{-1}, \varepsilon(K_\lambda) = 1,$$

$$(U^{\sim}.6) \ \Delta(E_i) = E_i \otimes 1 + K_{\alpha_i} \sigma^{p(i)} \otimes E_i, S(E_i) = -K_{\alpha_i}^{-1} \sigma^{p(i)} E_i, \varepsilon(E_i) = 0.$$

Let  $U_q^{\sim} b_+$  (resp.  $U_q^{\sim} n_+, T$ ) be an unital subalgebra generated by the elements  $\{E_i \ (1 \leq i \leq n), K_\lambda \ (\lambda \in P_+)\}$  (resp.  $\{E_i \ (1 \leq i \leq n)\}, \{K_\lambda \ (\lambda \in P_+)\}$ ).

Let  $\mathbb{I}$  be the set of finite sequences of  $\{1, \dots, n\}$ . Put  $E_I = E_{i_1} E_{i_2} \dots E_{i_p}$  for  $I = (i_1, i_2, \dots, i_p) \in \mathbb{I}$  and put  $E_\emptyset = 1$ .

Lemma 2.1. As a  $K$ -vector space,  $U_q^{\sim} b_+^\sigma$  has a basis elements such that

$E_I K_\lambda \sigma^c$  ( $I \in \mathbb{I}, \lambda \in P_+, c \in \{0, 1\}$ ). In particular, we have

$$U_q^{\sim} b_+^\sigma \cong U_q^{\sim} n_+ \otimes T \otimes K\langle \sigma \rangle \text{ as } K\text{-vector spaces.}$$

Proposition 2.2. There is a symmetric Hopf-pairing

$\langle , \rangle : U_{\mathbf{q}}^{\sim} b_+^{\sigma} \otimes U_{\mathbf{q}}^{\sim} b_+^{\sigma} \rightarrow \mathbf{K}$  such that

$$(P.1) \quad \langle \sigma, E_I K_{\lambda} \sigma^c \rangle = \delta_{I\phi} (-1)^c,$$

$$(P.2) \quad \langle K_{\mu}, E_I K_{\lambda} \sigma^c \rangle = \delta_{I\phi} q^{(\mu, \lambda)},$$

$$(P.3) \quad \langle E_i, E_I K_{\lambda} \sigma^c \rangle = \delta_I(i).$$

We put  $I_{b_+}^{\sigma} = \text{Ker} \langle , \rangle$  and put  $u_{\mathbf{q}} b_+^{\sigma} = U_{\mathbf{q}}^{\sim} b_+^{\sigma} / I_{b_+}^{\sigma}$ .

Let  $D(u_{\mathbf{q}} b_+^{\sigma})$  be the quantum double of  $u_{\mathbf{q}} b_+^{\sigma}$  with respect to  $\langle , \rangle$ . For  $X \in u_{\mathbf{q}} b_+^{\sigma}$ , we write  $X, X^{\text{op}}$  for  $X \otimes 1, 1 \otimes X \in D(u_{\mathbf{q}} b_+^{\sigma})$  respectively.

Lemma 2.3. In  $D(u_{\mathbf{q}} b_+^{\sigma})$ , the following equations hold:

$$(D \sim .1) \quad \sigma \cdot \sigma^{\text{op}} = \sigma^{\text{op}} \cdot \sigma, \quad \sigma K_{\lambda}^{\text{op}} \sigma = K_{\lambda}^{\text{op}}, \quad \sigma E_i^{\text{op}} \sigma = (-1)^{p(i)} E_i^{\text{op}},$$

$$\sigma^{\text{op}} K_{\lambda} \sigma^{\text{op}} = K_{\lambda}, \quad \sigma^{\text{op}} E_i \sigma^{\text{op}} = (-1)^{p(i)} E_i,$$

$$(D \sim .2) \quad K_{\lambda} \cdot K_{\mu}^{\text{op}} = K_{\mu}^{\text{op}} \cdot K_{\lambda},$$

$$K_{\lambda} E_i^{\text{op}} K_{\lambda}^{-1} = q^{-(\alpha_i, \lambda)} E_i^{\text{op}}, \quad K_{\lambda}^{\text{op}} E_i K_{\lambda}^{\text{op}-1} = q^{-(\alpha_i, \lambda)} E_i,$$

$$(D \sim .3) \quad E_i \cdot E_j^{\text{op}} - E_j^{\text{op}} \cdot E_i = \delta_{ij} (K_{\alpha_i}^{\text{op}} \sigma^{\text{op} p(i)} - K_{\alpha_i} \sigma^{p(i)}).$$

Let  $L$  be an ideal of  $\mathbf{K}$ -algebra  $D(u_{\mathbf{q}} b_+^{\sigma})$  generated by  $\sigma \cdot \sigma^{\text{op}} - \sigma^{\text{op}} \cdot \sigma$  and  $K_{\lambda} \cdot K_{\lambda}^{\text{op}} - K_{\lambda}^{\text{op}} \cdot K_{\lambda}$  ( $\lambda \in P_+$ ). It is clear that  $L$  is a Hopf-ideal. Put

$$u_{\mathbf{q}}^{\sigma} = u_{\mathbf{q}}^{\sigma}(\mathbf{E}, \Pi, p) = D(u_{\mathbf{q}} b_+^{\sigma}) / L.$$

Put  $u_{\mathbf{q}} n_+ = U_{\mathbf{q}}^{\sim} n_+ / (I_{b_+}^{\sigma} \cap U_{\mathbf{q}}^{\sim} n_+)$ ,  $t = T / (I_{b_+}^{\sigma} \cap T)$ .

Lemma 2.4. (1) As  $K$ -vector spaces,

$$u_q^\sigma \cong u_{q^{n_+}} \otimes t \otimes K\langle \sigma \rangle \otimes u_{q^{n_+}} (Xt\sigma^c Y^{op} \leftarrow X \otimes t \otimes \sigma^c \otimes Y). \\ (c=0,1)$$

(2) For  $1 \leq i \leq N$ , let  $\gamma_i = \min\{\gamma \mid K_{\underline{\varepsilon}_i}^\gamma = 1\} \in \mathbb{Z}_+ \cup \{+\infty\}$ . Then the elements  $K_{\underline{\varepsilon}_1}^{\delta_1} \dots K_{\underline{\varepsilon}_N}^{\delta_N}$  ( $0 \leq \delta_i < \gamma_i$ ) form a  $K$ -basis of  $t$ .

(3) Let  $u_q$  be an unital subalgebra of  $u_q^\sigma$  generated by the elements  $\{E_i, \dot{F}_i = E_i^{op} \sigma^{p(i)} (1 \leq i \leq n), K_\lambda (\lambda \in P_+)\}$ . Then there is a Hopf-superalgebra structure on  $u_q$  with coproduct  $\dot{\Delta}$  defined by

$$\dot{\Delta}(K_\lambda) = K_\lambda \otimes K_\lambda, \dot{\Delta}(E_i) = E_i \otimes 1 + K_{\alpha_i} \otimes E_i, \dot{\Delta}(\dot{F}_i) = \dot{F}_i \otimes K_{\alpha_i}^{-1} + 1 \otimes \dot{F}_i.$$

Theorem 2.5. Assume that  $q$  is an indeterminate and  $K = C(q)$ . Suppose that  $(\alpha_i, \alpha_i) > 0$ ,  $(\alpha_i, \alpha_i) \leq 0$  and  $2(\alpha_i, \alpha_j)/(\alpha_i, \alpha_i) \in \mathbb{Z}$ . Let  $\underline{G}$  be the Kac-Moody Lie algebra defined for  $(, ) : \mathbb{E} \times \mathbb{E} \rightarrow K$  and  $\Pi$ . Then  $u_q$  is isomorphic to the Drinfeld-Jimbo quantized enveloping algebra  $U_q(\underline{G})$ .  
(Jimbo type)

Theorem 2.6. Let  $\underline{G}$  be the simple C-Lie algebra. Suppose that  $\Pi$  is the set of the simple roots of  $\underline{G}$ . Assume that  $K = C$ . Let  $\zeta$  be an  $m$ -th root of unity such that  $m \gg 1$ . Then  $u_\zeta$  is isomorphic to the Lusztig's primitive quantum group at root of unity  $u_\zeta(\underline{G})$ .

Theorem 2.5 can be immediately proved by Proposition 2.4.1 in [T]. Theorem 2.6 also seems to be well-known. For example, see [R].

### §3. Root Systems of Simple Lie Superalgebras.

Let  $\mathbb{G}$  be simple Lie superalgebras of types  $A_{N-1}, B_N, C_N, D_N, F_4, G_3$ .

Let  $(\mathbb{E}, \Pi, p)$  be a triple related to a root system of  $\mathbb{G}$ . From now on, we only

of

treat triples  $(\mathbb{E}, \Pi, p)$  following Dynkin diagrams.

In the following diagrams, the element under  $i$ -th dot denotes the  $i$ -th simple root  $\alpha_i \in \Pi$ . The  $i$ -th dot  $\times$  stands for  $\circ$  (resp.  $\otimes$ ) if  $(\alpha_i, \alpha_i) \neq 0$  (resp.  $= 0$ ). If  $i$ -th dot is  $\circ$ ,  $\otimes$  or  $\bullet$ , then we define  $p(\alpha_i) = 0, 0, 1$  respectively. We also define a diagonal matrix  $\mathbb{D} = (d_1, \dots, d_n)$  such that  $A = \mathbb{D}^{-1}((\alpha_i, \alpha_j))$  is a Cartan matrix of  $\mathbb{G}$ .

$$(A_{N-1}) \quad \begin{array}{ccccccc} & 1 & & 2 & & & N-1 \\ \times & \text{---} & \times & \text{---} & \dots & \text{---} & \times \end{array}, \quad (\underline{\epsilon}_i, \underline{\epsilon}_i) = \pm 1, \quad \mathbb{D} = \text{diag}(1, \dots, 1),$$

$$\underline{\epsilon}_1 - \underline{\epsilon}_2 \quad \underline{\epsilon}_2 - \underline{\epsilon}_3 \quad \underline{\epsilon}_{N-1} - \underline{\epsilon}_N$$

$$(B_N) \quad \begin{array}{ccccccc} & 1 & & 2 & & & N-1 & N \\ \times & \text{---} & \times & \text{---} & \dots & \text{---} & \times \text{---} & \bullet \end{array}, \quad \begin{array}{ccccccc} & 1 & & 2 & & & N-1 & N \\ \times & \text{---} & \times & \text{---} & \dots & \text{---} & \times \text{---} & \bullet \end{array},$$

$$\underline{\epsilon}_1 - \underline{\epsilon}_2 \quad \underline{\epsilon}_2 - \underline{\epsilon}_3 \quad \underline{\epsilon}_{N-1} - \underline{\epsilon}_N \quad \underline{\epsilon}_N \quad \underline{\epsilon}_1 - \underline{\epsilon}_2 \quad \underline{\epsilon}_2 - \underline{\epsilon}_3 \quad \underline{\epsilon}_{N-1} - \underline{\epsilon}_N \quad \underline{\epsilon}_N$$

$$(\underline{\epsilon}_i, \underline{\epsilon}_i) = \pm 1, \quad \mathbb{D} = \text{diag}(1, \dots, 1, 1/2),$$

$$(C_N) \quad \begin{array}{ccccccc} & 1 & & 2 & & & N-1 & N \\ \times & \text{---} & \times & \text{---} & \dots & \text{---} & \times \text{---} & \circ \end{array}, \quad (\underline{\epsilon}_i, \underline{\epsilon}_i) = \pm 1, \quad \mathbb{D} = \text{diag}(1, \dots, 1, 2),$$

$$\underline{\epsilon}_1 - \underline{\epsilon}_2 \quad \underline{\epsilon}_2 - \underline{\epsilon}_3 \quad \underline{\epsilon}_{N-1} - \underline{\epsilon}_N \quad 2\underline{\epsilon}_N$$

$$(D_N) \quad \begin{array}{ccccccc} & & & & N-1 & & \\ & 1 & & 2 & & & N-2 & \circ & \underline{\epsilon}_{N-1} - \underline{\epsilon}_N \\ \times & \text{---} & \times & \text{---} & \dots & \text{---} & \times & \text{---} & \circ \end{array}, \quad \begin{array}{ccccccc} & & & & N-1 & & \\ & 1 & & 2 & & & N-2 & \otimes & \underline{\epsilon}_{N-1} - \underline{\epsilon}_N \\ \times & \text{---} & \times & \text{---} & \dots & \text{---} & \times & \text{---} & \otimes \end{array},$$

$$\underline{\epsilon}_1 - \underline{\epsilon}_2 \quad \underline{\epsilon}_2 - \underline{\epsilon}_3 \quad \underline{\epsilon}_{N-1} - \underline{\epsilon}_N \quad \underline{\epsilon}_{N-1} + \underline{\epsilon}_N \quad \underline{\epsilon}_1 - \underline{\epsilon}_2 \quad \underline{\epsilon}_2 - \underline{\epsilon}_3 \quad \underline{\epsilon}_{N-1} - \underline{\epsilon}_N \quad \underline{\epsilon}_{N-1} + \underline{\epsilon}_N$$

$$(\underline{\epsilon}_i, \underline{\epsilon}_i) = \pm 1, \quad \mathbb{D} = \text{diag}(1, \dots, 1, 1),$$

$$(F_4) \quad \begin{array}{ccccccc} & 1 & & 4 & & 3 & & 2 \\ \circ & \text{---} & \circ \text{---} & \bullet & \text{---} & \circ & \text{---} & \otimes \end{array},$$

$$\underline{\epsilon}_2 - \underline{\epsilon}_3 \quad \underline{\epsilon}_3 - \underline{\epsilon}_4 \quad \underline{\epsilon}_1 \quad (\underline{\epsilon}_1 - \underline{\epsilon}_2 - \underline{\epsilon}_3 - \underline{\epsilon}_4)/2$$

$$(\underline{\epsilon}_1, \underline{\epsilon}_1) = 6, \quad (\underline{\epsilon}_2, \underline{\epsilon}_2) = (\underline{\epsilon}_3, \underline{\epsilon}_3) = (\underline{\epsilon}_4, \underline{\epsilon}_4) = -2, \quad \mathbb{D} = \text{diag}(2, 1, 1, 2),$$

$$(G_3) \quad \begin{array}{ccccc} & 1 & & 3 & & 2 \\ & \otimes & \text{---} & \circ & \text{---} & \text{---} & \text{---} & \circ & \\ \underline{\underline{x}}_1 & - & \underline{\underline{x}}_2 & & (\underline{\underline{x}}_2 - \underline{\underline{x}}_3)/2 & & \underline{\underline{x}}_3 \end{array},$$

$$(\underline{\underline{x}}_1, \underline{\underline{x}}_1) = -2, (\underline{\underline{x}}_2, \underline{\underline{x}}_2) = 2, (\underline{\underline{x}}_3, \underline{\underline{x}}_3) = -6, \mathbb{D} = \text{diag}(1, 3, 1).$$

#### §4. Defining relations of $u_q^{\sigma}(\mathbb{E}, \Pi, p)$ of Simple Lie Superalgebras $\mathbb{G}$ .

Here we give defining relations of  $u_q^{n+}$  of  $u_q^{\sigma}(\mathbb{E}, \Pi, p)$  (see Lemma 2.4) when  $q$  is not a root of unity.

Put  $P_+ = \mathbb{Z}\alpha_1 \oplus \dots \oplus \mathbb{Z}\alpha_N$ . We extend  $p$  to  $p : P_+ \rightarrow \mathbb{Z}/2\mathbb{Z}$  additively.

For  $\delta = m_1\alpha_1 + \dots + m_N\alpha_N \in P_+$ , let  $(u_q^{n+})_{\delta}$  be a  $\mathbb{K}$ -subspace of  $u_q^{n+}$  spanned by elements  $E_{i_1}E_{i_2}\dots E_{i_p}$  ( $\#\{i_a = i\} = m_i$ ). Then we have  $u_q^{n+} = \bigoplus_{\delta \in P_+} (u_q^{n+})_{\delta}$ .

For  $\delta, \nu \in P_+$  and  $X_{\delta} \in (u_q^{n+})_{\delta}$ ,  $X_{\nu} \in (u_q^{n+})_{\nu}$ , put

$$\text{ad}_{\ell, 1} X_{\delta}(X_{\nu}) = [X_{\delta}, X_{\nu}] = X_{\delta}X_{\nu} - (-1)^{p(\delta)p(\nu)}q^{-(\delta, \nu)}X_{\nu}X_{\delta}.$$

**Theorem 4.1.** Let  $(\mathbb{E}, \Pi, p)$  be a triple introduced in §3. Assume that  $q$  is not a root of unity. Let  $u_q^{n+}$  be of  $u_q^{\sigma}(\mathbb{E}, \Pi, p)$  (see Lemma 2.4). Then, as  $\mathbb{K}$ -algebra,  $u_q^{n+}$  is defined with the generators  $E_i$  ( $1 \leq i \leq n$ ) and the relations:

$$(r1) \quad [E_i, E_j] = 0 \text{ if } (\alpha_i, \alpha_j) = 0,$$

$$(r2) \quad (\text{ad}_{\ell, 1} E_i)^{m_{ij}}(E_j) = 0 \text{ if } (\alpha_i, \alpha_j) \neq 0 \text{ and } m_{ij} = 2(\alpha_i, \alpha_j)/(\alpha_i, \alpha_i) \in \mathbb{Z},$$

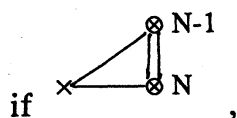
$$(r3) \quad (\text{ad}_{\ell, 1} E_N)^3(E_{N-1}) = 0 \text{ if } \begin{array}{ccc} & N-1 & N \\ & \times & \text{---} & \bullet \end{array},$$

$$(r4) \quad [[ [E_i, E_j], E_k], E_j] = 0$$

$$\text{if } \begin{array}{ccccc} & i & & j & & k \\ & \times & \text{---} & \otimes & \text{---} & \times \end{array}, \quad \begin{array}{ccccc} & i & & j & & k \\ & \times & \text{---} & \otimes & \text{---} & \bullet \end{array} > 0 \quad \text{or} \quad \begin{array}{ccccc} & i & & j & & k \\ & \times & \text{---} & \otimes & \text{---} & \bullet \end{array},$$

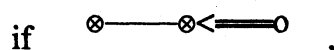


$$(r5) \quad [[E_{N-2}, E_{N-1}], E_N] = [[E_{N-2}, E_N], E_{N-1}]$$



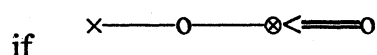
$$(r6) \quad [[ [E_{N-2}, E_{N-1}], [E_{N-2}, E_{N-1}], E_N ], E_{N-1} ] = 0$$

N-2    N-1    N



$$(r7) \quad [[ [ [ [ [E_{N-3}, E_{N-2}], E_{N-1}], E_N ], E_{N-1}], E_{N-2}], E_{N-1} ] = 0$$

N-3    N-2    N-1    N



### §5. Root vectors of $u_q^{\sigma}(\mathbb{E}, \Pi, p)$ of Simple Lie Superalgebras $\mathbb{G}$ .

Here we assume that there is  $m \gg 1$  satisfying  $q^{\underline{m}} \neq 1$  for  $1 \leq \underline{m} \leq m$ . Assume that  $(\mathbb{E}, \Pi, p)$  is the triple in §3. Let  $\Phi$  be the set of roots of  $\mathbb{G}$  and  $\Phi_+$  the set of positive roots with respect to  $\Pi$ . Let  $\Phi_+^{\text{red}}$  be the set of positive roots defined by

$\Phi_+^{\text{red}} = \{\beta \in \Phi_+ \mid \beta/2 \notin \Phi_+\}$ . For  $\beta = c_1\alpha_1 + \dots + c_N\alpha_N \in P_+$ , put  $\text{ht}(\beta) = c_1 + \dots + c_N$ ,  $g(\beta) = \min\{i \mid i \neq 0\}$  and  $c_\beta = c_{g(\beta)}$ .

Define a half integer  $\underline{\text{ht}}(\beta)$  by  $\underline{\text{ht}}(\beta) = \text{ht}(\beta)/c_\beta$ . For  $\alpha, \beta \in P_+$ , we say that  $\alpha < \beta$  if they satisfy one of the following  $\in \frac{1}{2}\mathbb{Z}$

- (1)  $g(\alpha) < g(\beta)$ ,
- (2)  $g(\alpha) = g(\beta)$  and  $\underline{\text{ht}}(\alpha) < \underline{\text{ht}}(\beta)$ ,
- (3)  $\Pi$  is of type  $D_N$ ,  $p(\underline{\epsilon}_i - \underline{\epsilon}_N) = 0$  and  $\alpha = \underline{\epsilon}_i - \underline{\epsilon}_N$ ,  $\beta = 2\underline{\epsilon}_i$  or

$$\alpha = 2\varepsilon_i, \beta = \varepsilon_i + \varepsilon_N \text{ or } \alpha = \varepsilon_i - \varepsilon_N, \beta = \varepsilon_i + \varepsilon_N.$$

We define  $q$ -root vectors  $E_\beta$  ( $\beta \in \Phi_+^{\text{red}}$ ) of  $u_{q,n_+}$  of  $u_q^\sigma(\mathbb{E}, \Pi, p)$  as follows.

Definition 5.1. For  $\beta \in \Phi_+^{\text{red}}$ , we define the element  $E_\beta \in u_{q,n_+}$  as follows. (For type  $F_4$ , (resp.  $G_3$ ), we write  $E_{abcd}$  and  $\dot{E}_{abcd}$  (resp.  $E_{abc}$  and  $\dot{E}_{abc}$ ) for  $E_{\alpha_1 + b\alpha_4 + c\alpha_3 + d\alpha_2}$  and  $\dot{E}_{\alpha_1 + b\alpha_4 + c\alpha_3 + d\alpha_2}$  (resp.  $E_{\alpha_1 + b\alpha_3 + c\alpha_2}$  and  $\dot{E}_{\alpha_1 + b\alpha_3 + c\alpha_2}$ ).

(1) We put  $E\alpha_i = E_i$  ( $1 \leq i \leq n$ ).

(2) Let  $\alpha \in \Phi_+^{\text{red}}$  and  $1 \leq i \leq n$  be such that  $g(\beta) < i$  and  $\alpha + \alpha_i \in \Phi$ . Put  $\dot{E}\alpha + \alpha_i = [\dot{E}_\alpha, E_i]$ . If  $\Pi$  is of type  $B_N$ ,  $i = N$  and  $\alpha = \varepsilon_j$  ( $1 \leq j \leq N-1$ ), let  $E\alpha + \alpha_N = (q^{1/2} + q^{-1/2})^{-1} \dot{E}\alpha + \alpha_N$ . If  $\Pi$  is of type  $D_N$ ,  $i = N$  and  $\alpha = \alpha_{N-1}$ , let  $E\alpha + \alpha_N = (q + q^{-1})^{-1} \dot{E}\alpha + \alpha_N$ . If  $\Pi$  is of type  $F_4$ , let  $E_{1120} = (q + q^{-1})^{-1} \dot{E}_{1120}$  and  $E_{1232} = (q^2 + 1 + q^{-2})^{-1} \dot{E}_{1232}$ . If  $\Pi$  is of type  $G_3$ , let  $E_{121} = (q + q^{-1})^{-1} \dot{E}_{121}$ ,  $E_{021} = (q + q^{-1})^{-1} \dot{E}_{021}$  and  $E_{031} = (q^2 + 1 + q^{-2})^{-1} \dot{E}_{031}$ . Otherwise, put  $E\alpha + \alpha_i = \dot{E}\alpha + \alpha_i$ .

(3) Let  $\alpha, \beta \in \Phi_+^{\text{red}}$  such that  $g(\alpha) = g(\beta)$ ,  $\alpha < \beta$ ,  $\text{ht}(\beta) - \text{ht}(\alpha) \leq 1$  and  $\alpha + \beta \in \Phi_+^{\text{red}}$ . Put  $\dot{E}_{\alpha+\beta} = [\dot{E}_\alpha, \dot{E}_\beta]$ . If  $\Pi$  is of type  $C_N$  (resp.  $D_N, F_4$  or  $G_3$ ), then  $E_{\alpha+\beta}$  is defined by  $(q + q^{-1})^{-1} \dot{E}_{\alpha+\beta}$  (resp.  $(q + q^{-1})^{-1} \dot{E}_{\alpha+\beta}$ ,  $(q^2 + q^{-2})^{-1} \dot{E}_{\alpha+\beta}$  or  $(q^2 + 1 + q^{-2})^{-1} \dot{E}_{\alpha+\beta}$ ).

By using similar computations in [Y2], we have

Proposition 5.2. (1) As a  $K$ -vector space,  $u_q n_+$  is spanned by the elements

$$\prod_{\alpha \in \Phi_+^{\text{red}}} E_\alpha^{n_\alpha} \quad (n_\alpha \in \mathbb{Z}_+ \text{ if } (\alpha, \alpha) \neq 0, n_\alpha = 0, 1 \text{ if } (\alpha, \alpha) = 0).$$

Here  $\prod_{\alpha \in \Phi_+^{\text{red}}}$  denote a product taken with a total order on  $\Phi_+^{\text{red}}$

compatible with the partial order  $<$ .

(2)

$$\begin{aligned} & \left\langle \prod_{\alpha \in \Phi_+^{\text{red}}} E_\alpha^{n_\alpha}, \prod_{\alpha \in \Phi_+^{\text{red}}} E_\alpha^{m_\alpha} \right\rangle \\ &= \prod_{\alpha \in \Phi_+^{\text{red}}} \delta_{n_\alpha m_\alpha} \psi(n_\alpha; (-1)^{p(\alpha)} q^{(\alpha, \alpha)}) \langle E_\alpha, E_\alpha^{n_\alpha} \rangle. \end{aligned}$$

Here  $\psi(n; t) = \prod_{1 \leq i \leq n} \{(t^i - 1)/(t - 1)\}$ .

§6. Poincaré-Birkhoff-Witt type Theorem  $\overset{\text{of}}{\vee} u_q^{\sigma(\mathbb{E}, \Pi, p)}$  of Simple Lie Superalgebras  $\mathbb{G}$ .

Define  $d_\alpha \in (1/2)\mathbb{Z}_+$  by  $d_\alpha = |(\alpha, \alpha)|/2$  if  $(\alpha, \alpha) \neq 0$ ,  $d_\alpha = 2$  if  $\Pi$  is of type  $G_3$  and  $\alpha = \alpha_1 + 2\alpha_3 + c\alpha_2$ ,  $d_\alpha = 1$  otherwise.  
For  $\alpha = c_1\alpha_1 + \dots + c_N\alpha_N \in P_+$ , put

$$b(\alpha) = (q^{d\alpha} - q^{-d\alpha}) \langle E_\alpha, E_\alpha \rangle / \prod_{1 \leq i \leq n} (q^{d_i} - q^{-d_i})^{c_i} \quad \text{and}$$

$$\gamma_\alpha = \min\{\gamma \mid \psi(\gamma; (-1)^{p(\alpha)} q^{(\alpha, \alpha)}) = 0\} \in \mathbb{Z}_+ \cup \{+\infty\}.$$

Lemma 6.1.  $b(\alpha)$  can be written as  $(-1)^a q^b$  for some  $a, b \in \mathbb{Z}_+$ . (For the precise value of  $b(\alpha)$ , see [Y2; Lemma 10.3.1]).

By Proposition 5.2 and Lemma 6.1, we have:

Theorem 6.2. (*PBW-type theorem*) The elements

$$\prod_{\alpha \in \Phi_+^{\text{red}}} E_\alpha^{\delta_\alpha} \quad (0 \leq \delta_\alpha < \gamma_\alpha)$$

form a  $\mathbb{K}$ -basis of  $u_q n_+$ .

Proposition 6.3. Let  $m > 10$  and  $\zeta$  a primitive  $m$ -th root of unity. Then, as  $\mathbb{K}$ -algebra,  $u_\zeta n_+$  is defined with the generators  $E_i$  ( $1 \leq i \leq n$ ) and the relations (r1-7) in Theorem 4.1 and relations

$$(rr1) \quad E_\alpha^{\gamma_\alpha} = 0 \quad (\alpha \in \Phi_+^{\text{red}}).$$

§7. Universal  $R$ -matrix  $\overset{\text{of}}{\underset{\vee}{u}}_\zeta^\sigma(\mathbb{E}, \Pi, p)$  of Simple Lie Superalgebra  $\mathbb{G}$ .

Keep notation in §3-6. For  $\alpha = c_1 \alpha_1 + \dots + c_N \alpha_N \in P_+$ , put

$$F_\alpha = (\prod_{1 \leq i \leq n} (q^{-d_i} - q^{d_i})^{c_i})^{-1} (E_\alpha)^{\text{op} \sigma p(\alpha)} \quad (\text{see Lemma 4.2}) \quad \text{and}$$

$$u(\alpha) = (-1)^{\text{ht}(\alpha)} / b(\alpha).$$

Theorem 7.1. (*Universal  $R$ -matrix of*  $u_\zeta^\sigma$ ) Keep notation in Proposition 6.3.

$\epsilon \cdot u_{\zeta}^{\sigma} \otimes u_{\zeta}^{\sigma}$   
 The Universal R-matrix  $R$  of  $u_{\zeta}^{\sigma} = u_{\zeta}^{\sigma}(\mathbb{E}, \Pi, p)$  is given by

$$R = \left\{ \prod_{\alpha \in \Phi_+^{\text{red}}} \left( \sum_{0 \leq \delta_{\alpha} < \gamma_{\alpha}} \frac{((q^{d\alpha} - q^{-d\alpha})u(\alpha)E_{\alpha} \otimes F_{\alpha} \sigma^{p(\alpha)})^{\delta_{\alpha}}}{\psi(n_{\alpha}; (-1)^{p(\alpha)} q^{(\alpha, \alpha)})} \right) \right\}$$

$$\cdot \left\{ \frac{1}{2} \sum_{0 \leq c, d \leq 1} (-1)^{cd} \sigma^c \otimes \sigma^d \right\} \cdot \prod_{1 \leq i \leq N} \left\{ (1/\gamma_i) \sum_{0 \leq \delta_i, \phi_i < \gamma_i} \zeta^{-(\underline{\epsilon}_i, \underline{\epsilon}_i) \delta_i \phi_i} K_{\underline{\epsilon}_i}^{\delta_i} \otimes K_{\underline{\epsilon}_i}^{\phi_i} \right\}$$

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